The Academy takes on publishing:

OASPA Webinar in support of Academic Led Publishing Day
With thanks to Copyright Clearance Center for hosting today’s webinar
Academic-Led Publishing Day

Paul Ayris
UCL Library Services

Charles Watkinson
University of Michigan Press

Kathleen Shearer
COAR (Confederation of Open Access Repositories)
Dr Paul Ayris, Pro-Vice-Provost (UCL Library Services)
CEO, UCL Press
p.ayris@ucl.ac.uk
Academic-led publishing

• Based in, led by the academy
• Can be a University Press, e.g. UCL Press
• Or academic publishing ventures led by academic activity
• Green OA dissemination is not in itself publishing
• Aligned with the principles of COPE - https://publicationethics.org/about
• Embraces a Mission and Values aligned with the University
UCL Press Mission

‘Scholarly outputs are typically subjected to a publications process that ... limits their widespread dissemination. UCL is committed to being a force for good and enlightenment in the world. This includes ensuring that the products of its research are made as widely available as possible.’

Professor David Price, Vice Provost (Research), UCL
## Benefits of an OA University Press

| Taking publishing back into the university system - the university supports the entire research life cycle |
| Challenge the prevailing scholarly publishing model - issue of low dissemination |
| Support for OA to AHSS outputs, which receive less funding than STEM |
| Social impact: research available to the public and policy makers |
| Global impact: outputs reach regions in which research is unavailable or unaffordable |
| Motivation for academics: they want their research to be widely read |
| Added reputational value of a university press, through global dissemination, publicity, reviews |
| Consistent with Open Science agenda |
| Opportunities for integration of research and learning |
UCL Press: facts and figures

- Launched with first titles June 2015
- Target is 50 books/year
- Strengths in Anthropology, Built Environment, History, Language Studies, Archaeology
- Publishing Manager plus staff, all with publishing background
- All books are copy-edited and typeset
- All books evaluated by Editorial Board and peer reviewed
- Open to all; mainly UCL authors to date (ECRs to Professors)
- For non-UCL author/editor, BPC charge starting at £5,000
- Target is 50 books/year

All books are copy-edited and typeset
All books evaluated by Editorial Board and peer reviewed
Strengths in Anthropology, Built Environment, History, Language Studies, Archaeology
For non-UCL author/editor, BPC charge starting at £5,000
Target is 50 books/year
• 50 monographs a year being published
• Monographs, edited collections, short monographs, textbooks
• Open Access formats: pdf, browser
• Non-OA formats: print-on-demand, ePub, MOBI
Welcome to the UCL Press app

Tap above to open latest edition

UCL Press is the publisher for University College London. It is the first fully open access university press in the UK, and publishes scholarly monographs, textbooks and journals across a wide range of subjects.

This app features UCL Press’s enhanced e-textbooks.

Textbook of Plastic & Reconstructive Surgery

DEEPAK M. KALSARKAR, PETER BUTLER & SHAHID GHALLI
1.5 million +

UCL Press downloads since June 2015

229 countries
Academic journals
Megajournal platform launched 31 January 2019

- UCL Open: Environment - [https://ucl-about.scienceopen.com/](https://ucl-about.scienceopen.com/)
- Inter-disciplinary and cross-disciplinary content
- Fast turn-around times being planned
Publishing services

- **Setting Up**: Branded website, governance structures, commissioning strategy, template contracts and author guidelines
- **Infrastructure**: Title management system, enhanced publishing platform
- **Editorial and production**: Copy-editing, typesetting, project management, author liaison
- **Impact**: Global OA marketing and print distribution
Next steps

• Evaluate textbook proposals; textbook marketing – course leaders and librarians
• Develop Publishing Services
• Further research into downloads; Open Science metrics
• European and US distribution for print
• Open Peer Review and UCL megajournal
• Expose UCL Press content to TDM services
Academy/ic-led Publishing
Some thoughts from North America

Charles Watkinson, Director, U of Michigan Press
Associate University Librarian, Publishing, U-M Library

OASPA Webinar, Academic Publishing Day
February 7, 2019
Where am I coming from?
● **University of Michigan Press**
● Publishes 100 books a year
  ○ 80 monographs in HSS
  ○ 10 textbooks in ELT
  ○ 10 regional trade books
● 1/100+ North American UPs
● Recently launched own ebook collection, UMP EBC
● Hosted on Fulcrum platform
● Focus on enhanced ebooks & interactive scholarly works

[link to University Press director](press.umich.edu/librarians)
● **Michigan Publishing Services**
  ● 1/60+ in Library Publishing Coalition
  ● Publishes a range of OA titles
    ○ 30 OA journals, mostly HSS
    ○ 20 open access books a year
    ○ Other digital projects
  ● Now offering services beyond U-M

● **Deep Blue** institutional repository
  ○ 100,000 + digital objects
  ○ > 10 million downloads a year
  ○ New research data repository

[publishing.umich.edu/services](publishing.umich.edu/services)
deepleblue.lib.umich.edu
Publishing technology provider

- Fulcrum / DLXS
- Platform and services
  - > 6,000 ebooks
  - > 600 articles per year
  - > 1 million active users
  - > 20 non U-M clients
- Open source software
- Services and infrastructure
- Accessible, durable
- Discoverable, interoperable

https://www.fulcrum.org/partner-with-us/
Academic-led publisher?

“Potato”? Academic-led
- Run by employed faculty
- Situated within university
- Non-profit
- Values-based
- No “for profits” allowed
- Disrupt and reinvent system

http://radicaloa.disruptivemedia.org.uk/

“Pot-ah-to”? Academy-led
- Run by unemployed faculty
- Situated within university
- Non-profit
- Values-based
- “For profits” are colleagues
- Change the system from within

https://librarypublishing.org/
http://www.aupresses.org/
What is Academy- (Academic-) led Publishing?
A (re)commitment to being mission-driven . . .

Characterized by an explicit “values-first” approach, aligned with HSS scholarship:

- **Quality**, originality, willingness to push boundaries, methodological soundness, advancing knowledge within/between disciplines and with public;
- **Equity**, willingness to undertake study with social justice, equitable access to research, and the public good in mind;
- **Openness**, includes a researcher’s transparency, candor, and accountability, in addition to the practice of making research open access at all stages;
- **Collegiality**, kindness, generosity, empathy toward other scholars / oneself;
- **Community**, the value of being engaged in one’s community of practice and with the public at large and also in practicing principled leadership.

[https://humetricshss.org/about/](https://humetricshss.org/about/)
A different tradition of “compensated” book Peer Reviewers

The book proposal was subjected to fully closed peer review. The book manuscript was subjected to partly closed peer review. More information...

. . . and don’t forget the Acquisitions Editor

“Thank you for the care and diligence you have already committed to working with me as a potential author. I was thrilled to receive the two readers’ reports; their close reading of my research and insights will be invaluable as I progress with my writing. I am also energized and recommitted to writing a book that reaches a wide audience.”

https://www.leverpress.org/governance/
http://prtstandards.org/
A university press book acquisitions workflow has at least 5x as much academic oversight as a commercial publisher’s book acquisitions workflow.

No wonder it is expensive.
Equity vs. Openness

Gold Open Access
- Often BPC or APC funded
- Shifts barrier from reader to author
- Undermines equitable global participation in scholarly communication

Platinum / Diamond Open Access
- Range of models, mostly consortial
- Challenges institutions to redirect their resources
- Strives for equitable global participation in scholarly communication
- E.g., Lever Press, Knowledge Unlatched, Open Book Publishers, U-M Press when we can find a way, TOME?
“Transparency, candor, accountability”

https://www.fulcrum.org/accessibility/
https://www.fulcrum.org/preservation/
Fewer than 250 North American institutions seem to be picking up the bill for supporting the academy-led scholarly communication infrastructure.

So over 4,000 are freeriding on the system.

https://scholarlycommons.net/map/ (Mapping the Scholarly Communication Infrastructure)
Thank You

watkinc@umich.edu

Open is not enough!
Sustainability, equality, and innovation in scholarly communication
The widespread dissemination of research outputs contributes to all 17 sustainable development goals

But our system for sharing and disseminating research must also be sustainable
Science is global!
Science is local!

Nepalese research outputs - with Major Clusters

Number of publications: 3,011  Years: 2004-2013

Canadian Journal Of Native Studies

The Canadian Journal of Native Studies is a highly recognized journal in the field of Native Studies. It began as a publication of the Society for the Advancement of Native Studies which is no longer in operation and whose founder, Sam Corrigan, was the Chief Editor from 1981 to 2008. It comes out on a bi-annual basis, and publishes original research which is refereed by peer review.

As a general focus, the journal publishes anthropological, historical, sociological, political, legal, education and cultural issues affecting First Nations people. Although the majority of articles deal with Indigenous peoples in Canada, it also publishes articles dealing with Indigenous peoples worldwide.

Image produced by Pitambar Gautam, Hokkaido University, Sapporo, Japan

Word maps created using VosViewer, a free software (Leiden University) , Vaby Eck & Waltman (2010)
Misalignment of publishing incentives and local research priorities
How can we support both global and local characteristics of the system?

Strengthen institutional services + Interoperability


“...The MIT Libraries must operate as an open, trusted, durable, interdisciplinary, interoperable content platform that provides a foundation for the entire life cycle of information for collaborative global research and education.”
COAR Vision: to position repositories as the foundation of the scholarly communication ecosystem

... on top of which value added services can be built
Unbundling the scholarly journal

5 functions of scholarly publishing:

1. Registration
2. Certification
3. Awareness
4. Archiving
5. Rewarding
Current repositories

Services we can develop with repositories today

Next generation repositories

Services we can develop with the next generation of repositories

By Petr Knoth, Open University, UK
Beyond the journal
All valuable research contributions should be available and recognized
Five prerequisites for a sustainable knowledge commons

1. Strengthen local institution-based services that preserve and provide access to diverse and valuable research products
2. Connect local services to national, regional and global networks through the adoption of interoperable standards and practices
3. Begin to redistribute funds towards services that add value to the networks, such as peer review
4. Improve the processes used to evaluate research contributions to include a wider range of qualitative and quantitative metrics and indicators
5. Adopt the principles and governance that will ensure the commons reflects the needs of the global research community
Role of service providers

Good Practice Principles for Scholarly Communication Services

COAR and SPARC have developed seven good practice principles to ensure that scholarly communication services are transparent, open, and support the aims of scholarship. These principles can be used by users to make decisions about which services they will contract with, and by service providers to improve their practices and governance.

**TRANSPARENT PRICING AND CONTRACTS**

The service’s contract conditions and pricing are transparent and equitable, with no non-disclosure agreements included.

**GOOD GOVERNANCE**

The service has strategic governance that allows community input on the direction of the service and operational governance with community representation and decision making power.

**EASY MIGRATION**

User-owned or generated content can be easily migrated to another platform or service upon termination of contract, without any additional fee from the service provider.

**OPEN STANDARDS**

The service uses open APIs to enable interoperability, and adheres to open standards. Ideally, the platform is based on open-source software, but in cases where it is not, user-owned content is managed according to well-established international standards.

**SUCCESSION PLANNING**

If the service is a nonprofit, the organization’s bylaws state the conditions and terms governing how the organization may be transferred or wound down. If the service is provided by a for-profit entity, the contract/agreement should not be assignable to another entity without the client’s express permission.

**FAIR DATA COLLECTION**

Only data necessary for the service’s provision are collected from users and the type of the data collected and how they are used is clearly and publicly articulated.

**OPEN CONTENT**

Content, metadata and usage data are immediately, openly and freely available in machine-readable format via open standards, and using licenses (like CC0 or similar) which facilitate reuse.

These principles are informed by Principles for Open Scholarly Infrastructure-v1 by Bilder G, Lin J, Neylon C (2015) © 2019 COAR and SPARC, subject to a Creative Commons Attribution 4.0 International License.
Kathleen Shearer, Executive Director, COAR
kathleen.shearer@coar-repositories.org
Questions?