Institutional Funding of Open Access

KIT Publication Fund

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On the way to a more transparent science communication

Serial crisis
Reduced library budgets
Limited access to information

Scientists

Library budgets

Open access activities & infrastructure

New business models
Transparency
Sustainability
Quality assurance

Scientists

Institutional funds
KIT – one institution with two missions and three tasks
KIT data

Scientists: 8,500
Professors: 364
Students: 25,000

€ annual budget: 850
Open Access at KIT

- Many components...
  - Governance
  - Policy
  - Communication and discourse
  - Cooperations

- ... and many ways
  - Green Road – Repositories & Publication Management
  - Golden Road – KIT Scientific Publishing, Open Access Fund
  - Open Access Policy – but no obligatory mandate
Cooperation with International Agreements

2010: Berlin Declaration
- 1st German University of the federal state of Baden-Württemberg

2011: Compact for Open Access Publishing Equity (COPE)
- 1st German Research Institution

Compact for Open-Access Publishing Equity

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<th>Institution</th>
<th>Date</th>
<th>Fund information</th>
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<td>Cornell University</td>
<td>September 14, 2009</td>
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<td>University of Utah</td>
<td>February 2, 2012</td>
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Open Access and KIT Library

Open Access Services of KIT Library

Open Access Repositories

KIT Open Access Policy

Journals
Scientific Reports
Monographs
Theses

KIT Scientific Publishing

Scientific Articles, Proceedings
Scientific Working Papers
Miscellanea

KIT Publication Fund
Financing new open access business models

Article submission ➔ Peer review ➔ Subscription fee ➔ Institutional access

Article submission ➔ Peer review ➔ Author fee ➔ KIT PUBLICATION FUND ➔ World wide access
German Research Foundation: programme open access publishing

- KIT participates in the 1st round of funding
- Process of establishing the KIT Fund
- 25% of the budget comes from central KIT funding
- Only peer review journals
- No hybrid models
- Maximum fee 2000 EUR
Open access articles by KIT authors

Average price
1213 €
Outflow of funds

![Graph showing the outflow of funds with two lines representing 2011 and 2012. The graph has a y-axis ranging from 0,00 € to 80,000,00 € and an x-axis with months from January to December. The blue line represents 2011 and the red line represents 2012.]
Practice at KIT Fund

- Team of librarians gives advice in advance
- Easy processing of the invoices

1. • author belongs to KIT and is submitting author?

2. • Journal is part of Directory of Open Access Journals DOAJ
   • Are all articles of the journal open access?
   • Journal is peer reviewed?

3. • Author fee maximal 2.000 Euro?

4. • Reference:
   „We acknowledge support by Deutsche Forschungsgemeinschaft and Open Access Publishing Fund of Karlsruhe Institute of Technology”
Subjects of open access articles

- Biology and Life Sciences
- Earth and Environmental Sciences
- Health Science
- Multidisciplinary
- Physics and Astronomy
- Technology and Engineering
Publication consortia

- Institutional memberships
- Copernicus Publications
- IOP Press - New Journal of Physics
Future of the KIT Publication Fund

Helmholtz principles of publication funds:

- No hybrid models
- Corresponding authors of KIT Journals should be in DOAJ
- Publishers should be member of OASPA
- Financial limit with partial financing

Funding will include proceedings and excellent books